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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/683,233

12/04/2001

Helmut Ponn

47874.267151

CONFIRMATION NO. 8299

Date Mailed: 12/19/2001

FORMALITIES LETTER

OC000000007213553

28694 TRACY W. DRUCE KILPATRICK STOCKTON LLP 11130 SUNRISE VALLEY DRIVE SUITE 300 RESTON, VA 20191-4329

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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OFFICE OF PETITIONS

02/20/2002 SSANDARA 00000011 09683233

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PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -

Signature

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Parent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/683233 RANSMITTAL Filing Date 12/04/2001 1 5 2002 岁 FORM **First Named Inventor** Stig HERMANSSON (to be used for all correspondence after initial filing) 3627 **Group Art Unit** TRADEM Unassigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 47874.267151 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition UNDER 37 CFR **Proprietary Information** After Final Petition to Convert to a **Status Letter** Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): **Declaration of Bo Willquist; Terminal Disclaimer Translation of Priority** Express Abandonment Request Document; Declaration of Request for Refund Christina Brenne; Certificate of CD, Number of CD(s) Service; and Postcard. Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm KILPATRICK STOCKTON LLP or David P. LeCroy Individual name Signature 02/19/2002 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

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	Complete if Known				
PEEE TRANSMITTAL	Application Number	09/683,233	RECEIVED FEB 2 0 2002		
6\PE 10 for FY 2002	Filing Date	12/04/2001			
4 0 2002 W	First Named Inventor	HERMANSSON			
FEB 1 9 2002 Patient fees are subject to annual revision.	Examiner Name	Unassigned	OFFICE OF PETITIONS		
	Group / Art Unit	3627			
TOTAL AMOUNT OF PAYMENT (\$) 1040	Attorney Docket No.	47874.267151			

TOTAL AMOUNT OF PAYMENT (\$) 1040 Attorney Docket No.			4/8/4.26/151								
METHOD OF PAYMENT (check all that apply)			<u> </u>	·		FEE C	ALCULATION (continued)	,			
			3. ADD	ITIONAL	FEES			_			
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None			Large		Small Entity						
Order Deposit Account:			Fee	Entity Fee	Fee	Fee	Eco Doscription	Fee			
				<u> </u>		Code	(\$)	Code	(\$)	Fee Description	Paid
Deposit						105	130	205	65	Surcharge - late filing fee or oath	130
Account 50-1928 Number			127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
	<u> </u>					139	130	139	130	Non-English specification	
Deposit			147	2,520	147-	2,520	For filing a request for reexamination				
Account Name	Account KILPATRICK STOCKTON LLP Name					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☑ Credit any overpayments					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge any additional fee(s) during the pendency of this application				115	110	215	55	Extension for reply within first month			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				116	400	216	200	Extension for reply within second			
FEE CALCULATION					117	920	217	460	month Extension for reply within third month		
1. BASIC FILING FEE				118	1,440	218	720	Extension for reply within fourth			
Large Entity		Entity								month	
Fee Fee	Fee		Fee Description			128	1,960	228	980	Extension for reply within fifth month	<u> </u>
Code (\$)	Code	(\$)	1 Milit. Elima faa	Fee P	aid	119	320	219	160	Notice of Appeal	<u> </u>
101 740	201		Utility filing fee	740		120	320	220	160	Filing a brief in support of an appeal	
106 330	206	165	Design filing fee	-		121	280	221	140	Request for oral hearing	<u> </u>
107 510 108 740	207 208		Plant filing fee Reissue filing fee	,		138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160	214		Provisional filling			140	110	240	55	Petition to revive – unavoidable	
			141	1,280	241	640	Petition to revive – unintentional				
SUBTOTAL (1) (\$) 740				142	1,280	242	640	Utility issue fee (or reissue)			
2. EXTRA CLA	IM FEES		· · · · · · · · · · · · · · · · · · ·		•	143	460	243	230	Design issue fee	
Z. EXTRA OLA			Extra F	ee from F	ee	144	620	244	310	Plant issue fee	
				elow P	aid	122	130	122	130	Petitions to the Commissioner	130
Total Claims	-20) ** =	×	= _0		123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	-3	** =	0 X	= 0		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		·	× [= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entit	-	Entit Fee	у			146	740	246	370	Filing a submission after final rejection	
Code (\$)	Code	(\$)	Fee Descript	ion		149	740	249	370	(37 CFR § 1.129(a)) For each additional invention to be	
103 18	203	9	Claims in exc			'''				examined (37 CFR § 1.129(b))	
102 84	202	42	•	daims in excess o		179	740	279	370	Request for Continued Examination (RCE)	
104 280	204	140	· · · · · · · · · · · · · · · · · · ·	ndent claim, if not	•						
109 84	209	42	original patent	lependent claims	over	169 900 169 900 Request for expedited examination of a design application					
110 18	210	9	** Reissue da over original p	ims in excess of a patent	20 and						
		S	UBTOTAL (2)	(\$) O		Other fe	e (specify	/)			
				<u> </u>		*Reduc	ed by Bas	sic Filing) Fee Pa	id SUBTOTAL (3) (\$) 300	<u> </u>
**or number pr	eviously p	oaid, if g	eater; For Reis	sues, see above		L				(4) 000	

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)		Registration No. Attorney/Agent)	Telephone			
Signature	(2000)	Dros)	Date	19 February 2002		

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